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Technology Center 2100

Applicant(s): Tetsunosuke Fujisaki  
Serial No.: 09/710,999  
Filing Date: November 9, 2000  
Group: 2161  
Examiner: Not Yet Assigned

Title: Method and Apparatus for Network Marketing of Financial Securities

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying PTO Form 1449. A copy of the listed item is enclosed.

1. Gutner et al., "The E-Bond Revolution," Business Week (1999).
2. Hershey, Jr., "Trading In Bonds Online, at Last," downloaded from <http://www.nytimes.com/library/tech/99/06/biztech/articles/> on June 28, 1999.
3. Nybo et al., "eCommerce in the U.S. Fixed Income Markets: The 1999 Review of Electronic Transaction Systems," The Bond Market Association (1999).
4. Tam, "Bond Traders Seek More, Better Online Services," (1998).

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability or that no other material information exists.

Respectfully submitted,

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